



HOME WARRANTY INSURANCE - ELIGIBILITY APPLICATION

- Please ensure all questions are completed and the declaration at the end of this form is signed prior to lodgement with your insurance broker.
- For any assistance in completing this form please contact your insurance broker.
- This application form is to be completed by all Builders who are seeking Eligibility for Home Warranty Insurance.
- The information provided in this form will be the basis on which assessment is undertaken in order to determine appropriate Eligibility Profile limits and conditions

Section 1 - General Information

Name of Applicant Builder (i.e. legal name under which you contract)

Registered Business Name(s)

ABN

What date did this business commence trading?

ACN

The Applicant business trades as a: Sole Trader Partnership Company Trust ▶ Please attach a copy of Trust Deed

Are you a Franchisee? N Y ▶ Please attach a copy of the Franchise Agreement

Business Address (not P.O. Box Address)

State

Postcode

Postal Address (leave blank if same as above)

State

Postcode

Business Phone Number

Name of key contact

Mobile Phone Number (of key contact)

Facsimile Number

Email Address (of key contact)

Builder's Licence Name

Builder's Licence Number

License Expiry Date

Industry Association Name/s

If yes, what is your granted turnover limit?

Do you hold eligibility in another state? N Y ▶ \$

States/Territories of operation in addition to NSW:

Brief description of the type of work your business undertakes (e.g. structural, renovations, kitchen specialists, single dwellings, etc.)

Blank for Intermediary Use Only

Section 2 – Builder licence/registration/accreditation information

Please list all Building Licences held by the business entity (including nominated officers)*

Issuing State	Name on licence	Licence no.	Year first issued

(*Nominated officers include Supervisors, Directors, Project Managers, etc.)

Names of ALL Proprietor / Partners / Directors of this business (please attach an additional copy/s of this page if there are more than two Partners / Directors)

Proprietor / Partner 1 / Director 1

Date of Birth

Builder Licence Number held by individual (if applicable)

Previous building experience, including this business for past 2 years

Business

Position Held

Dates Position Held

 to

Business

Position Held

Dates Position Held

 to

Partner 2 / Director 2

Date of Birth

Builder Licence Number held by individual (if applicable)

Previous building experience, including this business for past 2 years

Business

Position Held

Dates Position Held

 to

Business

Position Held

Dates Position Held

 to

If no building activity was undertaken within the last 12 months, what has been the nature of your business or employment?

Section 3 - Building Activity

Total Value of Residential works requiring Home Warranty Insurance in the next 12 months:

Please provide details of the maximum contract value sought for each construction type in the next 12 months:

Type of Project	Maximum job value*	Number of projects
New Single Dwelling construction	<input type="text" value="\$"/>	<input type="text"/>
New Multi Dwelling construction (3 storeys or less)	<input type="text" value="\$"/> per development	<input type="text"/>
	<input type="text" value="\$"/> per unit	
Alterations/additions (i.e. majority of work is structural)	<input type="text" value="\$"/>	<input type="text"/>
Swimming Pools	<input type="text" value="\$"/>	<input type="text"/>
Renovations (i.e. majority is non-structural** work)	<input type="text" value="\$"/>	<input type="text"/>
Other (please specify)	<input type="text" value="\$"/>	<input type="text"/>
Total	<input type="text" value="\$"/>	<input type="text"/>

*If you are seeking a maximum job value over \$750,000, please ensure you supply evidence of capability to support your application

**Includes kitchens, bathrooms, carports and pergolas etc.

Breakdown of turnover for the last financial year

Domestic Work as Licensed Builder	<input type="text" value="\$"/>
Commercial Work as Licensed Builder	<input type="text" value="\$"/>
Subcontracting Income	<input type="text" value="\$"/>
Other Income	<input type="text" value="\$"/>
Total Income	<input type="text" value="\$"/>

Request Approval for Architect Tendered Projects greater than \$500,000

Required? Y

N

(Approval will be subject to receipt and review of Project Application Form and required supporting evidence of capability)

Average construction cycle

Construction lead-time (i.e. period from contract signing/deposit taken until starting on site) weeks

Construction phase (i.e. period at site until handover to homeowner/developer) weeks

Please provide a brief description of your three largest projects over the past 5 years (any work type):

Description including address (e.g. houses, multi-unit developments, alterations, etc.)	Value of works (\$)	Date completed	Your role on project
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Section 4 - Business and personal background information

1. Have you or any business for which you were a director or principal ever been insured with another home warranty insurer?

No Yes ► Please provide details below

2. Are you presently insured through another insurance agent for ongoing work?

No Yes ► Please provide details below

3. Have you or any business for which you were a principal or director ever had a builder's licence refused or cancelled in any state or territory of Australia?

No Yes ► Please provide details below

4. Have you or any business for which you were a director or principal ever been declined home warranty insurance?

No Yes ► Please provide details below

5. Have there ever been any matters handled by the Consumer Trader and Tenancy Tribunal or any other state-based tribunal or Court that resulted in orders for rectification or payment against you or any business for which you were a director or principal?

No Yes ► Please provide details below

6. Have you ever been a director, principal or manager of a business which was ever in external administration, liquidation, receivership or any arrangement (formal or informal) to repay outstanding debts with creditors?

No Yes ► Please provide details below

7. Have you ever been in bankruptcy or under a trustee in bankruptcy?

No Yes ► Please provide details below

8. Have you ever been previously insured for Home Warranty Insurance in a different business name and licence?

No Yes ► Please provide details below

Licence number

9. Do you currently have any bank guarantee, deed of indemnity or any other form of security lodged with another insurer?

No Yes ► Name of Insurer

► Security Type

Section 5 - Statement of assets and liabilities - personal

Please complete this Statement for each Proprietor, Partner and Director of the Business (copy the table if applicable for multiple parties)

Name

Assets	Value (your ownership proportion only)	Liabilities	Value (your ownership proportion only)
Principal residence at		Mortgage loan with	
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
Other Residence at		Mortgage loan with	
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
Other Residence at		Mortgage loan with	
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
Business premises at		Mortgage loan with	
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
Other properties / vacant land at		Mortgage loan with	
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
Motor Vehicles		Vehicle finance with	
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
Other investments (e.g. shares, fixed interest investments)		Finance with	
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
Cash on deposit with		Bank overdraft with	
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
Work in progress		Credit card and other personal debts	\$ <input style="width: 80px;" type="text"/>
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
Trade receivables		Credit card and other personal debts	
	\$ <input style="width: 80px;" type="text"/>		\$ <input style="width: 80px;" type="text"/>
Loans and other monies owed to you	\$ <input style="width: 80px;" type="text"/>	Trade creditors greater than 90 days, loans and other monies owed by you	\$ <input style="width: 80px;" type="text"/>
Plant machinery, tools & equipment	\$ <input style="width: 80px;" type="text"/>	Other loans	\$ <input style="width: 80px;" type="text"/>

Proprietor/Partner/Director Declaration

I hereby certify that the above is a full and true statement of my personal assets and liabilities as at the noted date.

Signature

Date

Section 6 - Privacy Statement

NSW Self Insurance Corporation (SICorp), is a statutory corporation constituted under the NSW Self Insurance Corporation Act 2004 (NSW) and is responsible for carrying on the business of providing home warranty insurance for building work done in New South Wales that requires such insurance under the Home Building Act 1989 (NSW). SICorp is regulated by the Privacy and Personal Information Protection Act 1998 (NSW) and is required to provide the following information to you in relation to your personal information.

Purpose of Collection

SICorp, through its agents, collects and holds personal information (information or an opinion about an individual whose identity is apparent or can reasonably be ascertained from the information or opinion and which relates to a natural living person) for the purpose of providing home warranty insurance (insurance) in relation to building work requiring such insurance, including:

- evaluating your application;
- providing, administering and managing the insurance services following acceptance of an application; and
- investigating, and if covered, managing and processing claims made in relation to any insurance you have applied for with us.

SICorp and its agents, collect personal information in connection with the purposes listed above, through this application and also from other State or Federal government bodies, your intermediary, our insurance agents, loss assessors, claims investigators, reinsurers, insurance companies, mailing houses, claims reference providers, trade credit reference sources, financial assessors, other service providers, legal and other professional advisers.

Examples of personal information collected include:

- your insurance claim history;
- your credit history;
- your financial status and history; and
- your corporate directorship history.

Disclosure

SICorp or its agents may disclose your personal information in connection with the purposes listed above or as otherwise authorised or required by law, to other State or Federal government bodies, your intermediary, our insurance agents, loss assessors, claims investigators, reinsurers, insurance companies, mailing houses, claims reference providers, trade credit reference sources, financial assessors, other service providers, legal and other professional advisers.

Consequences if information is not provided

Supply of the information sought in this form is not required by law, however, if you do not provide us with this information we will be unable to consider your application for eligibility, administer any policy or manage any claim under the policy.

Access

You can request access to, and correction of, your personal information by contacting the Privacy Contact Officer of your insurance agent, through whom you or your intermediary have sought insurance, and to whom your information has been provided as our agent. In some circumstances we may not agree to allow you access to some or all of the personal information we hold about you, such as when it is unlawful to give it to you. In such cases we will give you reasons for our decision.

Section 7 - Builder Declaration

This declaration is to be executed either by the sole business proprietor/all business partners in a partnership/sole director if a sole director company/at least 2 directors of the company for other companies.

I/We declare that by completing this application and making this declaration, I/we appoint the intermediary to whom this application is provided as my/our broker for the purpose of applying for eligibility to purchase individual job specific policies for home warranty insurance with SICorp from time to time.

I/We confirm that the details on this application form are true and represent a fair and accurate representation of the affair(s) of the applicant(s). If any of the information disclosed in this application alters or materially changes, I/we will notify our intermediary immediately.*

I/We believe that the applicant is currently solvent and in its capacity can meet all of its financial obligations as and when they fall due.

I/We acknowledge that SICorp, or its agent, may seek additional information from me/us or our intermediary as required from time to time.

I/We acknowledge that SICorp, or its agent, reserves the right to reject this application.

I/We acknowledge that if our application for eligibility for home warranty insurance is accepted by SICorp, or its agent on SICorp's behalf, it does not create any contract of insurance or give the right to insurance. I/We will need to apply separately for insurance for a particular construction project.

I/We have read and understood the Privacy Statement section in this application.

For personal applicants

I consent to SICorp and its agents collecting, using and disclosing my personal information in accordance with the Privacy Statement.

For all applicants

If I have disclosed personal information in this form about any other person, I confirm that I am authorised to disclose this information to SICorp and its agents and to consent (and do consent) on that person's behalf to collection, use and disclosure of this and other personal information about them in accordance with the Privacy Statement.

Declared by (name of Proprietor / Partner / Director)

For and on behalf of (Entity)

Signature

Date

Declared by (name of Proprietor / Partner / Director)

For and on behalf of (Entity)

Signature

Date

***NB: Section 103EA of the Home Building Act 1989 (NSW) provides that it is an offence for a person, in connection with an application to an insurer for home warranty insurance, to make a statement (whether orally, in a document or in any other way) knowing that, or being reckless as to whether, the statement is false or misleading or omitting any matter or thing without which the statement is misleading in a material particular.**

Such an offence may be punishable by a penalty of up to \$22,000.

HOME WARRANTY INSURANCE - APPLICATION CHECKLIST

Please ensure you have included the following details and supporting documents (as required) to complete your application lodgement successfully:

- | | |
|--|---|
| <input type="checkbox"/> Fully completed and signed Application Form. | <input type="checkbox"/> Financial evidence - Company or Trust Fund.
Please attach financial statements including your Trading Statement, Profit & Loss sheets, Balance Sheets and notes. Your financials should not be more than 12 months old. |
| <input type="checkbox"/> Evidence of ownership (e.g. rates notice) for all property shown in Section 5. | <input type="checkbox"/> Copy of Trust Deed for Trust applicants. |
| <input type="checkbox"/> Copy of a current licence / registration for each of the directors / partners / business proprietors or nominated supervisors. | <input type="checkbox"/> Copy of Franchise Agreement for Franchisee applicants. |
| <input type="checkbox"/> Financial evidence - Sole Trader or Partnership.
Please attach most recent Taxation Returns (not Notifications of Assessment). | <input type="checkbox"/> Additional supporting evidence or documentation required to demonstrate ability to meet capability/ experience criteria (e.g. CVs, appropriate references, etc.) |